

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

THE APPLICATION OF:

STEPHEN M. ALLEN ET. AL.

CASE NO.: BB1439USCNT

APPLICATION NO.: 10/674309

CONFIRMATION NO.: 5248

GROUP ART UNIT: 1638

EXAMINER: UNKNOWN

FILED: SEPTEMBER 30, 2003

FOR: STARCH BRANCHING ENZYME IIB

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

In compliance with 37 CFR 1.97 and 1.98, Applicants bring to the attention of the U.S. Patent and Trademark Office information listed on the enclosed PTO-892 of record in the parent application and PTO/SB/08A and PTO/SB/08B forms submitted in the instant application. Applicants note that the reference, C. Sun et al., Plant Physiol. 118:37-49, (1993), is cited in the PTO/SB/08B forms of the parent and instant applications, as well as in the PTO-892 form of the parent application. Applicants further note that the reference, P. Broun et al., Science 282:1315-1317, (1998), was cited in the Office Action of October 4, 2002, of the parent case, but was not listed in the corresponding PTO-892 form; we have included this reference in the PTO/SB/08B form of the instant application.

Benefit of the earlier filing date of U.S. Patent Application No. 09/792,127 filed February 23, 2001 is claimed under 35 U.S.C. 120 for the above-referenced application and only copies of information not previously made of record in the parent are enclosed.

Should any fee be required in connection with the filing of this Information Disclosure Statement, please charge such fee to Deposit Account No. 04-1928 (E. I. du Pont de Nemours and Company).

Respectfully submitted,

EMIL M. OROZCO, JR. AGENT FOR APPLICANTS

Registration No.: 50,823 Telephone: (302) 695-3121 Facsimile: (302) 892-1026

Enclosures

August 19, 2004

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PTO/SB/08A (08-03)
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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Complete if Known					
Application Number	10/674309				
Filing Date	September 30, 2003				
First Named Inventor	STEPHEN M. ALLEN				
Group Art Unit	1638				
Examiner Name	UNKNOWN				
Attorney Docket Number	BB1439USCNT				

				U.S. PAT	ENT DOCUMENTS	
Examiner Initials *	Cite No.1	Document Number Number – Kind Code ² (if known)		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevan Figures Appear
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	FOREIGN PATENT DOCUMENTS									
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Examiner Signature	Date Considered	

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at <a href="https://www.uspto.com/www.uspto.com/www.uspto.com/www.uspto.com/www.uspto.com/www.uspto.com/www.uspto.com/www.uspto.com/www.uspto.com/www.uspto.com/www.uspto.com/www.uspto.com/www.uspto.com/www.uspto.com/www.uspto.com/www.acm/www.uspto.com/www.uspto.com/www.uspto.com/www.uspto.com/www.acm/www.uspto.com/www.uspto.com/www.uspto.com/www.uspto.com/www.acm/www.uspto.com/www.uspto.com/www.uspto.com/www.uspto.com/www.acm/www.uspto.com/www.uspto.com/www.uspto.com/www.uspto.com/www.acm/www.uspto.com/www.uspto.com/www.uspto.com/www.uspto.com/www.acm/www.uspto.com/www.uspto.com/www.uspto.com/www.uspto.com/www.acm/www.uspto.com/www.uspto.com/www.uspto.com/www.uspto.com/www.acm/www.uspto.com/www.uspto.com/www.uspto.com/www.uspto.com/www.acm/www.uspto.com/www.uspto.com/www.uspto.com/www.uspto.com/www.acm/www.uspto.com/www.uspto.com/www.uspto.com/www.uspto.com/www.acm/www.uspto.com/www

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Complete if Known Application Number 10/674309 Filing Date September 30, 2003 STEPHEN M. ALLEN ET. AL. First Named Inventor Group Art Unit 1638 **Examiner Name** UNKNOWN Attorney Docket Number BB1439USCNT

(use as many sheets as necessary)

Sheet of 1 OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of Cite Examiner the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue T² No.1 Initials * number(s), publisher, city and/or country where published. 1 C. MARTIN ET AL., Starch Biosynthesis, Plant Cell, Vol. 7:971-985, 1995 H.P. GUAN ET AL., Expression of Branching Enzyme II of Maize Endosperm in Escherichia coli, Cell. Mol. Biol., 2 Vol. 40(7):981-988, 1994 NATIONAL CENTER FOR BIOTECHNOLOGY INFORMATION GENERAL IDENTIFIER NO. 3822022, ACCESSION NO: AAC69754, 11-03-1998, C. SUN ET AL., The Two Genes Encoding Starch-Branching Enzymes lla and llb Are Differentially Expressed in Barley 3 C. SUN ET AL., The Two Genes Encoding Starch-Branching Enzymes IIa and IIb Are Differentially Expressed in 4 Barley, Plant Physiol. Vol. 118:37-49, 1998 K. MIZUNO ET AL., Alteration of the Structural Properties of Starch Components by the Lack of an Isoform of 5 Starch Branching Enzyme in Rice Seeds, J. Biol. Chem., Vol. 268(25):19084-19091, 1993 J. MURAI ET AL., Isolation and Characterization of the Three Waxy Genes Encoding the Granule-Bound Starch 6 П Synthase in Hexaploid Wheat, Gene, Vol. 234:71-79, 1999 NATIONAL CENTER FOR BIOTECHNOLOGY INFORMATION GENERAL IDENTIFIER NO. 168483, 7 ACCESSION NO: AAA18571, 06-01-1994, D.K. FISHER ET AL., Starch Branching Enzyme II from Maize Endosperm NATIONAL CENTER FOR BIOTECHNOLOGY INFORMATION GENERAL IDENTIFIER NO. 436052, ACCESSION NO: BAA03738, 01-11-2003, K. MIZUNO ET AL., Alteration of the Structural Properties of Starch Components by the Lack of an Isoform of Starch Branching Enzyme in Rice Seeds 8 П D.K. FISHER ET AL., Starch Branching Enzyme II from Maize Endosperm, Plant Physiol. Vol. 102(3):1045-1046, 9 1993 P. BROUN ET AL., Catalytic Plasticity of Fatty Acid Modification Enzymes Underlying Chemical Diversity of Plant 10 П Lipids, Science, Vol. 282:1315-1317, 1998

Examiner	Date	
Signature	 Considered	

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Applicant's unique citation designation number (optional). Applicant is to place a check mark here if English language Translation is attached.

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10/674309

BB1439USCNT

INFORMATION DISCLOSURE STATEMENT

PTO-892 FORM (1) (OF PARENT APPLICATION)

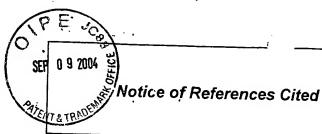
PTO/SB/08A FORM (1)

PTO/SB/08B FORM (1)

REFERENCES (4)

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Application/Control No.

O9/792,127

Examiner

Russell Kallis

Applicant(s)/Patent Under
Reexamination
ALLEN ET AL.

Art Unit
Page 1 of 1

U.S. PATENT DOCUMENTS

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NON-PATENT DOCUMENTS

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*A copy of this reference is not being furnished with this Office action. (See MPEP § 707.05(a).) Dates in MM-YYYY format are publication dates. Classifications may be US or foreign.